# CALTRANS STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION BID FOR

CONSTRUCTION ON STATE HIGHWAY IN MONO COUNTY FROM ROUTE 270 TO 0.1 MILE NORTH OF JACK SAWYER ROAD In District 09 on Route 395

UNDER

Notice to Bidders and Special Provisions dated September 21, 2015 Project plans approved June 1, 2015 Standard Specifications dated 2010 Standard Plans dated 2010

Identified by

Contract No. 09-360604

09-Mno-395-69.9/76.0

Project ID 0914000008

FEDERAL-AID PROJECT ACNHP-P395(255)E

Bids open Tuesday, October 13, 2015

Dated September 21, 2015

AADD

Contract No.: 09-360604 Project ID: 0914000008

Bidder Name: Herback General Engineering BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

Bidder ID: VC0000010144

Name of Bidder Herback General Engineering Business Street Address 2531 Nowlin Road (Include even if P.O. Box is used) Business P.O. Box City, State, Zip Minden, Nevada, 89423 Telephone No: AREA CODE 775-267-6800 Fax No: AREA CODE 775-267-6801

Contractor License No. 486035 Public Works Contractor Registration No. 1000015093

Checksum 1D509633

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non\*plant establishment work within the number of working days bid for non\*plant establishment work.

- 2. Bidder has and acknowledges the following addenda:1 10-02-15 Revise Bid Book, Federal Minimum Wages,
- 3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
- 4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

- 4.1 Criminal prosecution
- 4.2 Rejection of the bid
- 4.3 Rescission of the award
- 4.4 Termination of the Contract

PRINTED NAME: Greg Herback AND TITLE OF PERSON SIGNING: Owner

Contract No.: 09-360604 Project ID: 0914000008

Bidder Name: Herback General Engineering Bidder ID: VC0000010144

BID ITEM LIST

1				 rem Div				
   Item   No.	   Item   Code	Item Description	  Unit			Unit	Price	Item Total
1	070030  PLAN 	LEAD COMPLIANCE	LS	LUMP S	SUM	LUMP	SUM	1,335.00
2	120090  AREA SIC	CONSTRUCTION GNS	LS	LUMP S	SUM	LUMP	SUM	3,096.00
3	120100  SYSTEM 	TRAFFIC CONTROL	     LS	LUMP S	SUM	LUMP	SUM	190,157.00
4	120200  (PORTABI	FLASHING BEACON LE)	     EA		2.0		53 <b>4.</b> 00	1,068.00
5	- Comment - Comment of the Comment o	PORTABLE BLE MESSAGE SIGN	LS	LUMP :	SUM	LUMP	SUM	5,765.00
  6 	130100  MANAGEME	JOB SITE ENT	     Ls	LUMP S	SUM	LUMP	SUM	42,469.00
7	A. B. C.	PREPARE WATER ON CONTROL	     LS	LUMP S	SUM	LUMP	SUM	1,280.00
8	130620  DRAINAGE  PROTECTE	INLET	    EA		7.0		320.00	2,240.00
  9 	130640  ROLL 	TEMPORARY FIBER	    LF	41,90	00.0		4.50   	188,550.00
10	130650  BAG BERN 	TEMPORARY GRAVEL	     LF	2(	00.0		19.00  	3,800.00
11	130730   	STREET SWEEPING	     LS	LUMP S	SUM	LUMP	SUM	29,223.00
12	141120  WASTE 	TREATED WOOD	    LB	5,56	50.0		0.30	1,668.00

Contract No.: 09-360604 Project ID: 0914000008

Bidder Name: Herback General Engineering

Bidder ID: VC0000010144

BID ITEM LIST

+     Item   No.	   Item   Code	Item Description	5	Estimated Quantity of	•	Price	   Item Total
       13	     150661	REMOVE GUARDRAIL	Meası	ure 530.0	  	     10.00	5,300.00
+	   		   LF 	530.0	 	10.00	5,300.00
14	152438  COVER TO 	ADJUST FRAME AND GRADE	     EA	3.0	· 	684.00   684	2,052.00
15	152440  TO GRADI 	ADJUST MANHOLE	    EA	2.0		750.00	1,500.00
16		COLD PLANE CONCRETE	  SQYD	119,000.0		1.30	154,700.00
17	190101  EXCAVAT		    CY	420.0		59.00    59.00	24,780.00
18	190185 	SHOULDER BACKING	TON	4,190.0		11.00	46,090.00
19	260203  AGGREGAT	CLASS 2 FE BASE (CY)	CY	150.0		100.00	15,000.00
20	390132  (TYPE A)	HOT MIX ASPHALT	     TON	22,700.0		146.00	3,314,200.00
21	3.0	ASPHALT BINDER THETIC PAVEMENT (ER)	    TON	110.0  	 	1.10	121.00
22	11. 14. (0.4) 1. 14. (1. (1. (1. (1. (1. (1. (1. (1. (1. (1	GEOSYNTHETIC INTERLAYER MAT)	    SQYD	90,800.0		2.68	243,344.00
23	397005   	TACK COAT	    TON	56.0	1	L,043.00	58,408.00
  24 	832006  GUARDRAI  POST)	L SYSTEM (STEEL	     LF	420.0		44,00 	18,480.00

Contract No.: 09-360604 Project ID: 0914000008

Bidder Name: Herback General Engineering

Bidder ID:VC0000010144

BID ITEM LIST

Item No.	   Item   Code	   Item   Description 	1	Estima Quant t of sure			t Price	Item Total
25	The second second second	ALTERNATIVE TERMINAL SYSTEM	  EA		2.0		4,057.00	8,114.00
26	The second second second second second	ALTERNATIVE FERMINAL SYSTEM	    EA	;	2.0		3,737.00	7,474.00
27	Control of the section of the sectio	PAINT TRAFFIC (2-COAT)	    LF	96,80	0.0    		0.31	30,008.00
28	Essas sommercian or core	PAINT PAVEMENT (2-COAT)	    SQF		    0.0		2.70	1,107.00
29	EXISTING	MAINTAINING G TRAFFIC ENT SYSTEM S DURING CTION	LS LS LS	LUMP S	   M     	LUMP	SUM	2,215.00
30	860807  DETECTO		     LS	LUMP SI	   MC 	LUMP	SUM	5,087.00
T	otal Bid							\$4,408,631.00

Contract No.: 09-360604 Project ID: 0914000008

Bidder Name: Herback General Engineering

DES-QE-0102.2C (REV 03/2015)

Bidder ID: VC0000010144

Bidding Firm: Herback General Engineering

#### List this sub? Yes

1) Business Name Force Traffic Control, Inc.
Location City Exeter State CA
California Contractor License Number 790502
Public Works Contractor Registration Number 1000005521
Portion of Work Subcontracted:

Item %

Description

- 3 58 Traffic Control System
- 2 100 Construction Area Signs
- 4 100 Flashing Beacon (Portable)
- 5 100 Portable Changeable Message Sign

#### List this sub? Yes

2) Business Name Super Seal and Stripe
Location City Fillmore State CA
California Contractor License Number 396627
Public Works Contractor Registration Number 1000000752
Portion of Work Subcontracted:

Item % Description
27 100 Paint Traffic Stripe (2-Coat)
28 100 Paint Pavement Marking (2-Coat)

#### List this sub? Yes

3) Business Name Pacific Northwest Oil
Location City Stockton State CA
California Contractor License Number 705296
Public Works Contractor Registration Number 1000004865
Portion of Work Subcontracted:

Item % Description

- 21 100 Asphalt Binder
- 22 88 Geosynthetic Pavement Interlayer

Bidder Name: Herback General Engineering

DES-OE-0102.2C (REV 03/2015)

Bidder ID: VC0000010144

Bidding Firm: Herback General Engineering

#### List this sub? Yes

4) Business Name Par Electric Location City Reno State NV California Contractor License Number 687343 Public Works Contractor Registration Number 1000007254 Portion of Work Subcontracted:

Description 29 100 Maintaining Existing Traffic Management System Elements During Const 30 100 Inductive Loop Detector

#### List this sub? Yes

5) Business Name Pavement Recycling System Location City Jurupa Valley State CA California Contractor License Number 569352 Public Works Contractor Registration Number 1000003363 Portion of Work Subcontracted:

Item % Description

11 100 Street Sweeping

16 51 Cold Plane Asphalt Concrete Pavement

17 42 Roadway Excavation

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STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 09-360604 Project ID: 0914000008
Bidder Name:Herback General Engineering Bidder ID:VC0000010144
OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS
DES-OE-0102.12A(REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature:

Bidder Name: Herback General Engineering

Bidder ID: VC0000010144

SMALL BUSINESS STATUS DES-OE-0102.4 (REV 3/2008)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting

only.

Contract No.: 09-360604 Project ID: 0914000008

Bidder Name: Herback General Engineering Bidder ID: VC0000010144

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION Bidder certifies, to the best of his or her knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

Contract No.: 09-360604 Project ID: 0914000008

Bidder Name: Herback General Engineering Bidder ID: VC0000010144 INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.

  2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
- 4.Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
- 6.Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7.Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
- 8.Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
- 10.(a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
- (b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION Project ID: 0914000008

Contract No.: 09-360604

Bidder Name: Herback General Engineering

Bidder ID: VC0000010144

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Contract No. 09-360604

Bidder Name: Herback General Engineering

DISCLOSURE OF LOBBYING ACTIVITIES

Bidder ID:VC0000010144 Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 No

- 1. Type of Federal Action:
- 2. Status of Federal Action:
- 3. Report Type:

For Material Change Only:

Year

Ouarter

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier , if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

- 6. Federal Department/Agency:
- 7. Federal Program Name/Description:

CFDA Number, if applicable

- 8. Federal Action Number, if known:
- 9. Award amount, if known (\$):
- - b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):
- 11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

S	i	q	n	a	t	u	r	e	:	
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Print Name:

Title:

Telephone No.:

DATE:

Federal Use Only:

Authorized for Local Reproduction Standard Form - LLL(Rev. 7-97)

Bidder Name: Herback General Engineering Bidder ID: VC0000010144

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Herback General Engineering, proposed subcontractor, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

Contract No. 09-360604

Bidder Name: Herback General Engineering

Bidder ID: VC0000010144

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

#### UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

Contract No.: 09-360604 Project ID: 0914000008

Bidder Name: Herback General Engineering Bidder ID: VC0000010144

#### NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID Under PCC 7106 and 23 USC 112, the bidder declares as follows: State of NV County of Douglas

Name Greg Herback,

being first duly sworn, deposes and says that he or she is

Owner of

Herback General Engineering

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

#### CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

- 1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- 2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

#### NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code • 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

Contract No. 09-360604

Contract No.: 09-360604 Project ID: 0914000008

Bidder Name: Herback General Engineering Bidder ID: VC0000010144

#### VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code • 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

#### ANTITRUST LAW

Under Pub Con Code • 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code • 1101, with any public entity, as defined in Pub Cont Code • 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

## PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

Bidder Name: Herback General Engineering Bidder ID: VC0000010144

#### BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

- 1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
- 2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?
- 3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

  No
- 4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
- 4.1. Date of each nonresponsibility determination
- 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
- 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Contract No. 09-360604
Bond No
We
as Principal, and
·.
as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,  THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:
WHEREAS, the Principal is submitting a bid to the Obligee, for
CONSTRUCTION ON STATE HIGHWAY IN MONO COUNTY FROM ROUTE 270 TO 0.1 MILE NORTH OF JACK SAWYER ROAD In District 09 on Route 395 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 10-13-15.
NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.
In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.
Dated:, 20
Principal
Surety
ByAttorney-in-Fact

BIDDER'S BOND

DES-OE 0102.3 (REV 3/2008)

Correspondence or claims relating to this bond should be sent to the surety at the following address:
CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT
State of California
County of
On this before me,
Date Here insert Name and Title of the Officer
personally appeared
Name(s) of Signer(s)
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal
SIGNATURE
Signature of Notary Public
(SEAL)
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Contract No. 09-360604

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STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION BIDDER'S BOND	Contract No					
DES-OE-0102.3 (REV 3/2008)	Bond No. N/A					
We Greg C Herback dba Herback General	Engineering					
	as Principal, and					
Travelers Casualty and Surety Company of Amer	rica					
	of Transportation, hereafter referred to as "Obligee", in the penal sum of al submitted to the Obligee for the work described below, for the payment					
THE CONDITION OF T	HIS OBLIGATION IS SUCH, THAT:					
WHEREAS, the Principal is submitting a bid to the Obligee, for 270 to 0.1 Mile North of Jack Sawyer Road in	Or Construction on State Highway in Mono County from Rout (Copy here the exect description of work, including location, as it appears on the proposal) District 09 on Route 395					
for which bids are to be opened at 1727 30th Street, I	(Insert place where bids will be opened)					
	on October 13, 2015  (Insert date of bid opening)					
NOW THEREFORE if the Principal is awarded the	contract and, within the time and manner required under the					
accordance with the bid, and files two bonds with the Obligee contract and the other to guarantee payment for labor and ma otherwise, it shall remain in full force.	on for signature, enters into a written contract, in the prescribed form, in the contract of the contract of the aterials as provided by law, then this obligation shall be null and void;  Obligee and judgment is recovered, the Surety shall pay all costs incurred fee to be fixed by the court.					
Dated:, 20 15 .						
Correspondence or claims relating to this	Greg C Herback dba Herback General Engineering					
bond should be sent to the surety at the following address:	1/3					
Travelers Casualty and Surety Company of Ame	rica Principal					
11070 White Rock Rd, Rancho Cordova, CA 956	Surety /					
	By former Allorney in Fect					
	PURPOSE ACKNOWLEDGMENT					
State of California	: 7					
County of Nevada						
On this 10/05/2015 before me, Catherine I	Ann Love, Notary Public  Hare Insert Name and Title of the Officer					
personally appeared Nina D Dedeker						
	Namo(s) of Signer(s)					
and acknowledged to me that he/she/they executed the same	the person(s) whose name(s) is/are subscribed to the within instrument in its/her/their authorized capacity(ies), and that by his/her/their person(s) acted, executed the instrument.					
I certify under PENALTY OF PERJURY under the laws of the	State of California that the foregoing paragraph is true and correct.					
	WITNESS my hand and official seal.					
(SEAL) SEE ATTACHED CENTIFICATE OF ACKNOWLEDGEN	Signature  Signalure of Notary Public					
ACKNOWLEDGEN	NENT Clike					

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

## CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document. STATE OF CALIFORNIA County of NEVADA CTOSEN 5, 2015 before me, CATHENINE ANN LOVE Insert Name of Notary exactly as it appears on the official seal . Notary Public. personally appeared who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. CATHERINE ANN LOVE COMM. #1958800 I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true Notary Public-California NEVADA COUNTY and correct. My Comm. Exp. OCT 31, 2015 Witness my hand and official seal. Place Notary Seal Above — OPTIONAL -Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of the form to another document. **Description of Attached Document** Title or Type of Document: Number of Pages: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Signer's Name: ☐ Individual ☐ Individual ☐ Corporate Officer — Title(s): Corporate Officer — Title(s): ☐ Partner ☐ Limited ☐ General ☐ Partner ☐ Limited ☐ General ☐ Attorney in Fact RIGHT THUMBPRINT ☐ Attorney in Fact RIGHT THUMBPRINT OF SIGNER ☐ Trustee OF SIGNER ☐ Trustee ☐ Guardian or Conservator ☐ Guardian or Conservator Top of thumb here Top of thumb here Signer is Representing: Signer is Representing:



#### POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company Travelers Casualty and Surety Company Travelers Casualty and Surety Company of America United States Fidelity and Guaranty Company

Attorney-In Fact No.

10-13-15 A10:29 IN

Certificate No. 006037565

Attorney-In Fact No.

227832

KNOW ALL MEN BY THESE PRESENTS: That Farmington Casualty Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company are corporations duly organized under the laws of the State of Connecticut, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Teri L. Wood, Michael Talbott, Nicholas D. E. Rossi, Lori Jones, Patricia Owens, Randell L. House, and Nina D. Dedeker

of the City ofReno each in their separate capacity if r other writings obligatory in the n	nore than one is named above, to		acknowledge any ar	nd all bonds, recog	nizances, condition	nal undertakings and
IN WITNESS WHEREOF, the day ofAugust	teeing bonds and undertakings r	equired or permitted in a	any actions or proceed	edings allowed by	law.	
IN WITNESS WHEREOF, the O	Companies have caused this inst	rument to be signed and	their corporate seal	s to be hereto affix	ed, this	29th
day of <u>August</u>	Fidelity and Guaranty Insur Fidelity and Guaranty Insur	ance Company ance Underwriters, Inc	Trave	elers Casualty and elers Casualty and elers Casualty and	rance Company I Surety Company I Surety Company	y of America
	St. Paul Fire and Marine Ins St. Paul Guardian Insurance		Unite	ed States Fidelity a	and Guaranty Co	mpany
1977	MOOFFORMED & TOTAL STATE OF THE	SEAL S	ORFORALE CONTRACTOR	OSULTY AND DISTANCE OF THE PROPERTY OF THE PRO	HARTFORD SOME	MICHARD E TO THE TOTAL THE
State of Connecticut City of Hartford ss.			Ву:	Robert L. Raney,	Senior Vice Presiden	ıt
On this the 29th be the Senior Vice President of Fa Fire and Marine Insurance Compa Casualty and Surety Company of instrument for the purposes therei	rmington Casualty Company, Fi my, St. Paul Guardian Insurance America, and United States Fid	idelity and Guaranty Inso Company, St. Paul Mer elity and Guaranty Com	rance Company, Figury Insurance Company, and that he, as	delity and Guaranty npany, Travelers Ca s such, being autho	y Insurance Undervasualty and Surety	Company, Travelers
In Witness Whereof, I hereunto s My Commission expires the 30th		SC. TETRO SCHOTARI)		Mari	L. C. J.	treault y Public

58440-8-12 Printed in U.S.A.

#### WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER.

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary, of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company do hereby certify that the above and foregoing IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this day of Kevin E. Hughes, Assistant Secretary



















To verify the authenticity of this Power of Attorney, call 1-800-421-3880 or contact us at www.travelersbond.com. Please refer to the Attorney-In-Fact number, the above-named individuals and the details of the bond to which the power is attached.

# HERBACK

### GENERAL ENGINEERING

## LETTER OF TRANSMITTAL

October 12, 201	5							
Transmitted To:		Transmitted From: Michelle Taylor						
Caltrans		Herback General Engineering						
	t, Bidders' Exchange, MS 26	2531 Nowlin Road						
Sacramento, CA		Minden, NV 89423						
Reference:	Contract 09-360604	•						
Documents Encl	losed: Bidder's Bond - 09-360604	No. Copies 1	<u></u> ,					
			_ _					
			_					
			_					
Comments		-267-6800 or email me at mtaylor@herback.net	_					
	if you have any questions, comm	ents or concerns.	_					
	Thank you for your time.		_					
		*						
Transmitted via	FedEx - Overnight							
10 10		•						
Received by		Reviewed and Submitted by						
CALTRANS		HERBACK GENERAL ENGINEERING						
		Michell fast						
Signature		Signature 10/12/15						
Date		Date	_					